tomey Docket No.: 005-006

### **DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

# SYSTEMS, METHODS AND DEVICES FOR REMOVING OBSTRUCTIONS FROM A BLOOD VESSEL

the specification filed on January 8, 2001 as application no. 09/756,476.

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Date of Filing	<u>Status</u>
09/605,143	June 29, 2000	Pending

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Jens E. Hoekendijk, Reg. No. 37,149

Send Correspondence to:	Direct Telephone Calls to:
Jens E. Hoekendijk P.O. Box 4787 Burlingame, CA 94011-4787	Jens E. Hoekendijk Reg. No. 37,149 Telephone: (415) 412-3322

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of sole or one joint inventor: Ivan Sepetka Inventor's signature: Date: 346 Costello Court Residence & Post Office Address: Los Altos, California 94024 Citizenship: United States Full name of sole or one joint inventor: Martin Diec Inventor's signature: Date: Residence & Post Office Address: 21105 Hazelbrook Drive Cupertino, California 95014 Citizenship: United States Full name of sole or one joint inventor: Son Gia Inventor's signature: Date: Residence & Post Office Address: San Jose, California 95111

United States

Citizenship:

### POWER OF ATTORNEY BY ASSIGNEE

CONCENTRIC MEDICAL, INC., a Delaware corporation, located at 2585 Leghorn Street, Mountain View, California 94043, is the Assignee of the invention entitled: SYSTEMS, METHODS AND DEVICES FOR REMOVING OBSTRUCTIONS FROM A BLOOD VESSEL, the specification of which was filed on January 8, 2001 and identified as U.S. Patent Application No. 09/756,476.

The Assignment accompanying this Power of Attorney (or filed concurrently herewith under separate cover to the attention of the Assignment Branch) has been reviewed by the undersigned. The undersigned certifies that to the best of the undersigned's knowledge and belief, title is in the Assignee.

Assignee hereby appoints the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Jens E. Hoekendijk, Reg. No. 37,149

Send Correspondence to:

Jens E. Hoekendijk

P.O. Box 4787

Burlingame, CA 94011-4787

Telephone: 415-412-3322

Direct Telephone Calls to:

Direct Telephone Calls to:

Reg. No. 37,149

Telephone: (415) 412-3322

CONCENTRIC MEDICAL, INC.

Date:

Ву:

Martin Dieck

President and CEO



### UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Offic

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS. Washington, D.C. 20231

MAY 24, 2001

PTAS

JENS E. HOEKENDIJK 433 AIRPORT BLVD., SUITE 432 BURLINGAME, CA 94010



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## UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/06/2001

REEL/FRAME: 011600/0561

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS) ..

ASSIGNOR:

SEPETKA, IVAN

DOC DATE: 02/05/2001

ASSIGNOR:

DIECK, MARTIN S.

DOC DATE: 02/05/2001

ASSIGNOR:

GIA, SON

DOC DATE: 02/05/2001

ASSIGNEE:

CONCENTRIC MEDICAL, INC. 2585 LEGHORN STREET MOUNTAIN VIEW, CALIFORNIA 94043

SERIAL NUMBER: 09756476

PATENT NUMBER:

FILING DATE: 01/08/2001

ISSUE DATE:

011600/0561 PAGE 2

DIANE RUSSELE, PARALEGAL ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

#### ASSIGNMENT OF PATENT APPLICATION

WHEREAS, IVAN SEPETKA, MARTIN S. DIECK and SON GIA, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: SYSTEMS, METHODS AND DEVICES FOR REMOVING OBSTRUCTIONS

FROM A BLOOD VESSEL

Filing date:

January 8, 2001

Application No.:

09/756,476

WHEREAS, CONCENTRIC MEDICAL, INC., a Delaware corporation, located at 2585 Leghorn Street, Mountain View, California 94043, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same:

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and all future improvements thereon, and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interests to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Ivan Sepetka

Martin S. Dieck

Son Gia

Date

2/1

Date

Date

03-23-2001

Attorney Docket: 005-006

**FORM PTO-1595** RF U.S. DEPT. OF COMMERCE (Rev. 6-93) Patent and Trademark Office 101645214 OMB No. 0651-0011 (exp. 4/94) To the Honorable Commissioner of Patents and Trademarks; Please record the attached original documents or copy thereof 2. Name and address of receiving 1. Name of conveying party(ies): Ivan Sepetka; Martin S. Dieck; Son Gia Name: CONCENTRIC MEDI 200 Street Address: Additional name(s) of conveying party(ies) attached? [ ] Yes [X] No. 2585 Leghorn Street Mountain View, CA 94043 3. Nature of conveyance: [X] Assignment [] Merger Additional name(s) and address(es) attached? [] Security Agreement [] Change of Name []Yes [X] No [] Other\_ Execution Date: February 5, 2001 4. Application number(s) or patent number(s): 09/756,476, filed January 8, 2001. Additional numbers attached? [] Yes [X] No 5. Name and address of party to whom 6. Total number of applications and correspondence concerning document should be patents involved: 1 mailed: Name: JENS E. HOEKENDLIK 7. Total fee (37 CFR 3.41) \$40.00 Internal Address: P.O. Box 4787 [] Enclosed Burlingame, CA 94011-4787 [X] Authorized to be charged to deposit account Street Address: 433 Airport Blvd, Suite 432 8. Deposit Account No.: 50-1247 Burlingame, CA 94010 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Jens E. Hoekendijk March 2, 2001 Name of Person Signing Date Registration No.: 37,149 Total number of pages including cover sheet, attachments, and document: 2